

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: Chapman and Cutler LLP

Description of Matter: GO/STSC Refunding Series 2024

Role of Reporting Firm: GO Bond Counsel, GO Pension Disclosure Counsel and STSC Special Counsel to the City

This affidavit is submitted in conjunction with (check one):

☒ a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

☐ brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

Fill out below (and attach additional sheets using the same format, if necessary), the following information for each person in the Reporting Firm who will directly provide professional services to the City in connection with the Matter described above: the individual's position in the Reporting Firm and the role he or she will fill in the Matter, gender, and race or ethnicity. Individuals' names need not be disclosed.

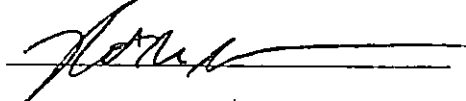
Individual #	Position and Role	Gender	Race/Ethnicity
1	Partner	M	White (Not Hispanic)
2	Partner	M	Black
3	Partner	F	White (Not Hispanic)
4	Partner	M	Black
5	Associate	F	Asian

(If needed, please use additional sheets to identify additional personnel.)

By signing below, I represent under penalty of perjury that: (1) I am authorized to act on behalf of the Reporting Firm, and (2) the information in this Affidavit and associated attachment are true, complete, and correct.

By signing below, I understand and acknowledge, on behalf of the Reporting Firm, that failure to accurately and completely supply the information requested herein may result in a declaration of ineligibility to participate in future Matters for the City of Chicago.

Printed Name: Kent M. Floros

Signature: 

Title: Partner

Date: September 16, 2024

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	Chapman and Cutler LLP
Primary Representative:	Kent Floros
Primary Representative Email and Telephone:	floros@chapman.com 312-845-3723
Headquarters Address:	320 South Canal Street, Chicago, IL 60606
Chicago Public Finance Office Address:	320 South Canal Street, Chicago, IL 60606
Total Number of Employees:	347
Number of Employees in Illinois:	263
Number of Employees in Chicago:	263
Capital Position:	
Minority Designation:	

Job Categories	Overall Totals	Male					Female					
		White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	
Officials and Managers	37	17	1				16	2	1			
Professionals	166	61	6	4	7	1	59	6	2	15		3 Male and 2 Female Two or More Races.
Technicians												
Sales Workers												
Office and Clerical	144	13	4	6	2		74	17	16	8		2 Male and 2 Female Two or More Races
Craft Workers (Skilled)												
Operatives (Semi-Skilled)												
Laborers												
Service Workers												
Total	347	91	11	10	9	1	149	25	19	23		5 Male and 4 Female Two or More Races

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	
Officials and Managers	10%	9%	1%				
Professionals	48%	35%	4%	2%	6%		Two or More Races 1%
Technicians							
Sales Workers							
Office and Clerical	42%	25%	6%	7%	3%		Two or More Races 1%
Craft Workers (Skilled)							
Operatives (Semi-Skilled)							
Laborers							
Service Workers							
Total	100%	69%	11%	9%	9%		2%

Male	Female	Total
37%	63%	100%

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: Charity & Associates, P.C.

Description of Matter: City of Chicago General Obligation Refunding Bonds, Series 2024

Role of Reporting Firm: Co-Bond Counsel

This affidavit is submitted in conjunction with (check one):

X__ a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

____ brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

Fill out below (and attach additional sheets using the same format, if necessary), the following information for each person in the Reporting Firm who will directly provide professional services to the City in connection with the Matter described above: the individual's position in the Reporting Firm and the role he or she will fill in the Matter, gender, and race or ethnicity. Individuals' names need not be disclosed.

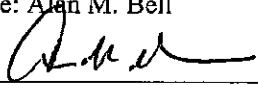
Individual #	Position and Role	Gender	Race/Ethnicity
1	Managing Partner – Lead Attorney	<u>M</u> F	African-American
		M F	
		M F	
		M F	
		M F	

(If needed, please use additional sheets to identify additional personnel.)

By signing below, I represent under penalty of perjury that: (1) I am authorized to act on behalf of the Reporting Firm, and (2) the information in this Affidavit and associated attachment are true, complete, and correct.

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Printed Name: Alan M. Bell

Signature: 

Title: Managing Partner

Date: August 30, 2024

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	Charity & Associates, P.C.
Primary Representative:	Alan M. Bell
Primary Representative Email and Telephone:	alan.bell@charity-associates.com
Headquarters Address:	20 North Clark Street, Suite 3300, Chicago, Illinois 60602
Chicago Public Finance Office Address:	20 North Clark Street, Suite 3300, Chicago, Illinois 60602
Total Number of Employees:	2
Number of Employees in Illinois:	2
Number of Employees in Chicago:	2
Capital Position:	Professional Corporation, 100% Owned by Elvin E. Charity
Minority Designation:	MBE

Job Categories	Overall Totals	2					0				
		Male					Female				
		White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers											
Professionals	2		2								
Technicians											
Sales Workers											
Office and Clerical											
Craft Workers (Skilled)											
Operatives (Semi-Skilled)											
Laborers											
Service Workers											
Total	2		2								

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers						
Professionals	100%		100%			
Technicians						
Sales Workers						
Office and Clerical						
Craft Workers (Skilled)						
Operatives (Semi-Skilled)						
Laborers						
Service Workers						
Total	100%		100%			

Male	Female	Total
100%	0%	100%

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: Greenberg Traurig, LLP

Description of Matter: GO/STSC Refunding Series 2024

Role of Reporting Firm: Disclosure Counsel

This affidavit is submitted in conjunction with (check one):

☒ a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

☐ brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

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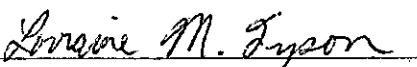
Individual #	Position and Role	Gender	Race/Ethnicity
Lorraine Tyson	Shareholder - First Chair	M ☒ F	Moorish-American
Lance Tyson	Of Counsel & Second Chair	☒ M F	Moorish-American
Melissa Lopez Rogers	Shareholder & National Disclosure Expert	M ☒ F	Hispanic or Latino
		M F	
		M F	

(If needed, please use additional sheets to identify additional personnel.)

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By signing below, I understand and acknowledge, on behalf of the Reporting Firm, that failure to accurately and completely supply the information requested herein may result in a declaration of ineligibility to participate in future Matters for the City of Chicago.

Printed Name: Lorraine M. Tyson

Signature: 

Title: Shareholder

Date: 9/5/2024

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	Greenberg Traurig, LLP
Primary Representative:	Lorraine M. Tyson
Primary Representative Email and Telephone:	Email: tysonl@gtlaw.com Phone: 312-476-5009
Headquarters Address:	8400 N.W. 36th Street, Suite 400, Doral, FL 33166
Chicago Public Finance Office Address:	77 West Wacker Drive, Suite 3100, Chicago, IL 60601
Total Number of Employees:	3,885
Number of Employees in Illinois:	301
Number of Employees in Chicago:	301
Capital Position:	N/A
Minority Designation:	N/A

	Male								Female							Non-Binary				
	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	Two or More Races	Native Hawaiian or other Pacific Islander	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	Two or More Races	Native Hawaiian or other Pacific Islander	White (Not Hispanic)	Hispanic	Asian	Native American	Two or More Races
Job Categories																				
Officials and Managers	379	116	17	33	3	1			137	24	34	7		4				1	1	
Professionals	2,399	1,146	66	120	81	2	28	3	620	88	115	95	3	27	5					
Technicians																				
Sales Workers																				
Office and Clerical	1,107	94	47	82	13	2	6	1	432	141	202	47	2	30	2	4	1		1	
Craft Workers (Skilled)																				
Operatives (Semi-Skilled)																				
Laborers																				
Service Workers																				
Total	3,885	1,356	130	235	97	5	34	4	1,189	253	351	149	5	61	7	4	2	1	1	1

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	Two or More Races	Native Hawaiian or other Pacific Islander
Officials and Managers	10%	7%	1%	2%	0.28%	0.03%	0.13%	0.00%
Professionals	62%	45%	4%	6%	5%	0.13%	1%	0.21%
Technicians								
Sales Workers								
Office and Clerical	28%	14%	5%	7%	2%	0.13%	1%	0.08%
Craft Workers (Skilled)								
Operatives (Semi-Skilled)								
Laborers								
Service Workers								
Total	100%	66%	10%	15%	6%	0.28%	2%	0.28%

Male	Female	Non-binary	Total
48%	52%	0.23%	100%

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: Mayer Brown LLP

Description of Matter: GO/STSC Refunding Series 2024

Role of Reporting Firm: Counsel to Sales Tax Securitization Corporation

This affidavit is submitted in conjunction with (check one):

X a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

 brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

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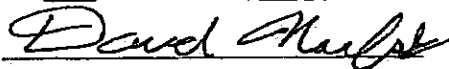
Individual #	Position and Role	Gender	Race/Ethnicity
David Narefsky	Partner, Team Leader	☒ M ☐ F	Caucasian
Jeromy S. Cannon	Partner, Finance Counsel	☒ M ☐ F	Caucasian
Gabriela V. Chavez Barrientos	Associate, Finance Counsel	☐ M ☒ F	Hispanic

(If needed, please use additional sheets to identify additional personnel.)

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Printed Name: David Narefsky

Signature: 

Title: Partner

Date: September 3, 2024

FIRMWIDE
PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	Mayer Brown LLP
Primary Representative:	David Narefsky
Primary Representative Email and Telephone:	dnarefsky@mayerbrown.com 312-701-7303
Headquarters Address:	71 S. Wacker Drive, Chicago, Illinois 60606
Chicago Public Finance Office Address:	71 S. Wacker Drive, Chicago, Illinois 60606
Total Number of Employees:	1,764
Number of Employees in Illinois:	819
Number of Employees in Chicago:	819
Capital Position:	N/A
Minority Designation:	N/A

Job Categories	Male						Female					
	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	
Officials and Managers	225	77	7	5	6	0	82	19	14	9	0	
Professionals	1,082	423	30	37	46	1	334	43	58	77	0	
Technicians	129	44	18	12	17	0	11	13	3	9	0	
Sales Workers	0	0	0	0	0	0	0	0	0	0	0	
Office and Clerical	325	20	11	9	7	0	107	65	74	18	0	
Craft Workers (Skilled)	0	0	0	0	0	0	0	0	0	0	0	
Operatives (Semi-Skilled)	0	0	0	0	0	0	0	0	0	0	0	
Laborers	0	0	0	0	0	0	0	0	0	0	0	
Service Workers	3	1	1	0	0	0	0	0	0	0	0	
Total	1,764	565	67	63	76	1	534	140	149	113	0	

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	13%	5%	1%	1%	1%	0%
Professionals	65%	43%	4%	5%	7%	0%
Technicians	7%	3%	2%	1%	1%	0%
Sales Workers	0%	0%	0%	0%	0%	0%
Office and Clerical	15%	7%	4%	3%	1%	0%
Craft Workers (Skilled)	0%	0%	0%	0%	0%	0%
Operatives (Semi-Skilled)	0%	0%	0%	0%	0%	0%
Laborers	0%	0%	0%	0%	0%	0%
Service Workers	0%	0%	0%	0%	0%	0%
Total	100%	62%	12%	12%	11%	0%

Male	Female	Total
45%	55%	100%

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: Miller, Canfield, Paddock and Stone, P.L.C.

Description of Matter: GO/STSC Refunding Bonds, Series 2024

Role of Reporting Firm: Bond Counsel

This affidavit is submitted in conjunction with (check one):

X a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

Fill out below (and attach additional sheets using the same format, if necessary), the following information for each person in the Reporting Firm who will directly provide professional services to the City in connection with the Matter described above: the individual's position in the Reporting Firm and the role he or she will fill in the Matter, gender, and race or ethnicity. Individuals' names need not be disclosed.

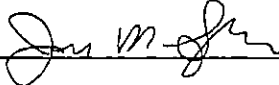
Individual #	Position and Role	Gender	Race/Ethnicity
1.	James M. Snyder, Principal	M	Caucasian
2.	Austin C. Root, Principal	M	Caucasian
3.	Shelly A. Scinto, Attorney	F	Caucasian
4.	Katrina P. Desmond, Senior Principal	F	Caucasian
5.	Mark A. Huddle, Senior Counsel	M	Caucasian

(If needed, please use additional sheets to identify additional personnel.)

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Printed Name: James M. Snyder

Signature: 

Title: Principal

Date: August 30, 2024

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	Miller, Canfield, Paddock and Stone, P.L.C.
Primary Representative:	Glenn E. Weinstein
Primary Representative Email and Telephone:	weinstein@millerccanfield.com (312) 460-4211
Headquarters Address:	150 West Jefferson Avenue, Suite 2500, Detroit, MI 48226
Chicago Public Finance Office Address:	227 West Monroe Street, Suite 3600, Chicago, IL 60606
Total Number of Employees:	353
Number of Employees in Illinois:	29
Number of Employees in Chicago:	29
Capital Position:	
Minority Designation: Chicago	5

Job Categories	MALE						FEMALE						
	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	2 Or More Races	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	2 Or More Races
Officials and Managers	21	7						10	3		1		
Professionals	209	134	7	5	3			50	5	1	2		2
Technicians	0												
Sales Workers	0												
Office and Clerical	123	17	2					82	13	6	2		1
Craft Workers (Skilled)	0												
Operatives (Semi-Skilled)	0												
Laborers	0												
Service Workers	0												
Total	353	158	9	5	3	0	0	142	21	7	5	0	3

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	2 Or More Races
Officials and Managers	21	17	3	0	1	0	0
Professionals	209	184	12	6	5	0	0
Technicians	0	0	0	0	0	0	0
Sales Workers	0	0	0	0	0	0	0
Office and Clerical	123	99	15	6	2	0	1
Craft Workers (Skilled)	0	0	0	0	0	0	0
Operatives (Semi-Skilled)	0	0	0	0	0	0	0
Laborers	0	0	0	0	0	0	0
Service Workers	0	0	0	0	0	0	0
Total	353	300	30	12	8	0	3

Male	Female	Total
175	178	353

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: **SANCHEZ DANIELS & HOFFMAN LLP**

Description of Matter: **GO/STSC Refunding Series 2024**

Role of Reporting Firm: **GO and STSC CO-DISCLOSURE COUNSEL**

This affidavit is submitted in conjunction with (check one):

☒ a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

☐ brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

Fill out below (and attach additional sheets using the same format, if necessary), the following information for each person in the Reporting Firm who will directly provide professional services to the City in connection with the Matter described above: the individual's position in the Reporting Firm and the role he or she will fill in the Matter, gender, and race or ethnicity. Individuals' names need not be disclosed.

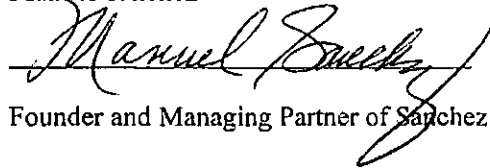
Individual #	Position and Role	Gender	Race/Ethnicity
1	Manuel Sanchez, Managing Partner (Co-Disclosure Counsel)	M	Hispanic
2	Heather D. Erickson, Partner (Co-Disclosure Counsel)	F	Caucasian
3	Maria Saldana, Of Counsel (Co-Disclosure Counsel)	F	Hispanic

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Printed Name: **Manuel Sanchez**

Signature:



Title: **Founder and Managing Partner of Sanchez Daniels & Hoffman LLP**

Date: **September 3, 2024**

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

GO/STSC Refunding Series 2024

Firm Name:	SANCHEZ DANIELS & HOFFMAN LLP
Primary Representative:	MANUEL SANCHEZ, Founder and Managing Partner
Primary Representative Email and Telephone:	msanchez@sanchezdh.com
Headquarters Address:	333 W. Wacker Drive, Suite 500, Chicago, IL 60606
Chicago Public Finance Office Address:	Same
Total Number of Employees:	34
Number of Employees in Illinois:	34
Number of Employees in Chicago (Public Finance/Total):	3
Capital Position:	
Minority Designation:	Hispanic

Job Categories	19					15					
	Male					Female					
	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	0										
Professionals	20	12	1	3	1		1	0	1	1	
Technicians	1			1							
Sales Workers	0										
Office and Clerical	13	0	0	1			5	1	6	0	
Craft Workers (Skilled)	0										
Operatives (Semi-Skilled)	0										
Laborers	0										
Service Workers	0										
Total	34	12	1	5	1	0	6	1	7	1	0

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	0%	0%	0%	0%	0%	0%
Professionals	59%	38%	3%	12%	6%	0%
Technicians	3%	0%	0%	3%	0%	0%
Sales Workers	0%	0%	0%	0%	0%	0%
Office and Clerical	38%	15%	3%	21%	0%	0%
Craft Workers (Skilled)	0%	0%	0%	0%	0%	0%
Operatives (Semi-Skilled)	0%	0%	0%	0%	0%	0%
Laborers	0%	0%	0%	0%	0%	0%
Service Workers	0%	0%	0%	0%	0%	0%
Total	100%	53%	6%	35%	6%	0%

Male	Female	Total
56%	44%	100%

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: Zuber Lawler LLP

Description of Matter: GO/STSC Refunding Series 2024

Role of Reporting Firm: Co-Bond Counsel

This affidavit is submitted in conjunction with (check one):

X a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

 brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

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Individual #	Position and Role	Gender	Race/Ethnicity
1	Equity Partner, Supervisor	M ☐ F	African-American
2	Equity Partner, Co-Bond Counsel	☒ M F	Caucasian
3	Partner, Co-Bond Counsel	☒ M F	African American
4	Associate, Co-Bond Counsel	M ☒ F	Hispanic
		M F	

(If needed, please use additional sheets to identify additional personnel.)

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Printed Name: Eileen M. Letts

Signature: 

Title: Equity Partner

Date: August 30, 2024

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	Zuber Lawler LLP
Primary Representative:	Eileen M. Letts
Primary Representative Email and Telephone:	eletts@zuberlawler.com; (312)346-1100
Headquarters Address:	350 S. Grand Avenue, 32nd Floor, Los Angeles, CA 90071
Chicago Public Finance Office Address:	111 W. Jackson Blvd., Suite 1700, Chicago, IL 60603
Total Number of Employees:	43
Number of Employees in Illinois:	2
Number of Employees in Chicago:	2
Capital Position:	N/A
Minority Designation:	MBE

Job Categories

Officials and Managers
Professionals
Technicians
Sales Workers
Office and Clerical
Craft Workers (Skilled)
Operatives (Semi-Skilled)
Laborers
Service Workers
Total

Overall Totals	Male					Female				
	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
8	1	1		2		3	1			
21	12	2	1	1		3		1	1	
1							1			
13	1		1			5	1	2	3	
43	14	3	2	3		11	3	3	4	

Job Categories

Officials and Managers
Professionals
Technicians
Sales Workers
Office and Clerical
Craft Workers (Skilled)
Operatives (Semi-Skilled)
Laborers
Service Workers
Total

Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
19%	9%	5%		5%	
49%	34%	5%	5%	5%	
2%		2%			
30%	14%	2%	7%	7%	
100%	57%	14%	12%	17%	

Male	Female	Total
51%	49%	100%

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: Blaylock Van, LLC

Description of Matter: GO/STSC Refunding Series 2024

Role of Reporting Firm: Co-Managing Underwriter

This affidavit is submitted in conjunction with (check one):

☒ a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

☐ brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

Fill out below (and attach additional sheets using the same format, if necessary), the following information for each person in the Reporting Firm who will directly provide professional services to the City in connection with the Matter described above: the individual's position in the Reporting Firm and the role he or she will fill in the Matter, gender, and race or ethnicity. Individuals' names need not be disclosed.

Individual #	Position and Role	Gender	Race/Ethnicity
1	Senior Vice President, Lead Banker	☒ M F	Asian-American
2	Chief Executive Officer, Oversight	☒ M F	African-American
3	Chief Compliance Officer, Oversight	☒ M F	African-American
4	Head of Public Finance, Oversight	☒ M F	African-American
5	Senior Vice President, Lead Underwriter	☒ M F	White

(If needed, please use additional sheets to identify additional personnel.)

By signing below, I represent under penalty of perjury that: (1) I am authorized to act on behalf of the Reporting Firm, and (2) the information in this Affidavit and associated attachment are true, complete, and correct.

By signing below, I understand and acknowledge, on behalf of the Reporting Firm, that failure to accurately and completely supply the information requested herein may result in a declaration of ineligibility to participate in future Matters for the City of Chicago.

Printed Name: Leonard Jones

Signature: 

Title: Executive Director - Head of Public Finance

Date: 09/06/2024

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	Blaylock Van, LLC
Primary Representative:	Jonathan C. Ly
Primary Representative Email and Telephone:	jly@brv-llc.com, (312) 391-9494
Headquarters Address:	600 Lexington Avenue, 3rd Floor, New York, NY 10022
Chicago Public Finance Office Address:	203 N. LaSalle Street, Suite 2100, Chicago, IL 60601
Total Number of Employees:	45
Number of Employees in Illinois:	2
Number of Employees in Chicago:	2
Capital Position:	\$21,389,621 total capital as of 07/31/2024
Minority Designation:	Minority Business Enterprise (African American Owned)

Job Categories	Overall Totals	Male					Female				
		White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	8	3	4				1				
Professionals	33	15	7	1	2		3	4		1	
Technicians	0										
Sales Workers	0										
Office and Clerical	4		1				1	1		1	
Craft Workers (Skilled)	0										
Operatives (Semi-Skilled)	0										
Laborers	0										
Service Workers	0										
Total	45	18	12	1	2		5	5		2	

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	18%	9%	9%	0%	0%	0%
Professionals	73%	40%	24%	2%	7%	0%
Technicians	0%	0%	0%	0%	0%	0%
Sales Workers	0%	0%	0%	0%	0%	0%
Office and Clerical	9%	2%	4%	0%	2%	0%
Craft Workers (Skilled)	0%	0%	0%	0%	0%	0%
Operatives (Semi-Skilled)	0%	0%	0%	0%	0%	0%
Laborers	0%	0%	0%	0%	0%	0%
Service Workers	0%	0%	0%	0%	0%	0%
Total	100%	51%	38%	2%	9%	0%

Male	Female	Total
73%	27%	100%

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: PFM Financial Advisors LLC

Description of Matter: GO/STSC Refunding Series 2024

Role of Reporting Firm: Municipal Advisors

This affidavit is submitted in conjunction with (check one):

X a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

 brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

Fill out below (and attach additional sheets using the same format, if necessary), the following information for each person in the Reporting Firm who will directly provide professional services to the City in connection with the Matter described above: the individual's position in the Reporting Firm and the role he or she will fill in the Matter, gender, and race or ethnicity. Individuals' names need not be disclosed.

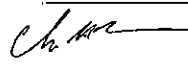
Individual #	Position and Role	Gender	Race/Ethnicity
1	Managing Director	☒ M F	Black/African American
2	Director	M ☒ F	Black/African American
3	Director	☒ M F	White/Caucasian
4	Analyst	☒ M F	White/Caucasian
		M F	

(If needed, please use additional sheets to identify additional personnel.)

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By signing below, I understand and acknowledge, on behalf of the Reporting Firm, that failure to accurately and completely supply the information requested herein may result in a declaration of ineligibility to participate in future Matters for the City of Chicago.

Printed Name: Chuck Matthews

Signature: 

Title: Managing Director

Date: 9/6/2024

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	PFM Financial Advisors LLC
Primary Representative:	Charles Matthews
Primary Representative Email and Telephone:	matthewsc@pfm.com; (215) 557-1429
Headquarters Address:	1735 Market Street 42nd Floor, Philadelphia, PA 19103
Chicago Public Finance Office Address:	190 South LaSalle St Suite 2000, Chicago, IL 60603
Total Number of Employees:	203
Number of Employees in Illinois:	6
Number of Employees in Chicago:	4
Capital Position:	N/A
Minority Designation:	N/A

Workforce data as of June 30, 2024

Job Categories	Overall Totals	Male					Female				
		White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	102	44	3	4	2		27	8	5	5	
Professionals	65	18	1	3	7		16	7	2	7	
Technicians											
Sales Workers											
Office and Clerical	36				1		20	5	5	4	
Craft Workers (Skilled)											
Operatives (Semi-Skilled)											
Laborers											
Service Workers											
Total	203	62	4	7	10	0	63	20	12	16	0

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	Two or more races
Officials and Managers	50%	57%	46%	47%	27%	0%	44%
Professionals	32%	27%	33%	26%	54%	0%	44%
Technicians							
Sales Workers							
Office and Clerical	18%	16%	21%	26%	19%	0%	11%
Craft Workers (Skilled)							
Operatives (Semi-Skilled)							
Laborers							
Service Workers							
Total	100%	100%	100%	100%	100%	0%	100%

Male	Female	Total
44%	56%	100%

* PFM follows the standard EEO Report, where data provides additional category of Two or More Races.

Male	Female
Two or more races	Two or more races
3	1
2	2
1	0
6	3

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: Phoenix Capital Partners, LLC

Description of Matter: GO/STSC Refunding Series 2024

Role of Reporting Firm: Municipal Advisor

This affidavit is submitted in conjunction with (check one):

X a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

 brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

Fill out below (and attach additional sheets using the same format, if necessary), the following information for each person in the Reporting Firm who will directly provide professional services to the City in connection with the Matter described above: the individual's position in the Reporting Firm and the role he or she will fill in the Matter, gender, and race or ethnicity. Individuals' names need not be disclosed.

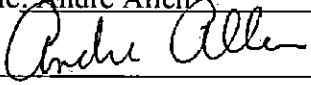
Individual#	Position and Role	Gender	Race/Ethnicity
Andre Allen	Principal/Municipal Advisor	☒ M ☐ F	African American
		☐ M ☐ F	
		☐ M ☐ F	
		☐ M ☐ F	
		☐ M ☐ F	

(if needed, please use additional sheets to identify additional personnel.)

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By signing below, I understand and acknowledge, on behalf of the Reporting Firm, that failure to accurately and completely supply the information requested herein may result in a declaration of ineligibility to participate in future Matters for the City of Chicago.

Printed Name: Andre Allen

Signature: 

Title: Principal

Date: September 3, 2024

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	Phoenix Capital Partners, LLC
Primary Representative:	Andre Allen
Primary Representative Email and Telephone:	aallen@phoenix-capital-partners.com 312-577-7599
Headquarters Address:	100 N. 18th Street, Suite 300, Philadelphia, PA 19102
Chicago Public Finance Office Address:	Aon Center - 200 East Randolph St, Suite 5100 Chicago, IL 60601
Total Number of Employees:	2
Number of Employees in Illinois:	0
Number of Employees in Chicago:	0
Capital Position:	
Minority Designation:	African American Owned and Operated

Job Categories	Overall Totals	Male					Female				
		White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	2		2								
Professionals	0										
Technicians	0										
Sales Workers	0										
Office and Clerical	0										
Craft Workers (Skilled)	0										
Operatives (Semi-Skilled)	0										
Laborers	0										
Service Workers	0										
Total	2	0	2	0	0	0	0	0	0	0	0

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	100%	0%	100%	0%	0%	0%
Professionals	0%	0%	0%	0%	0%	0%
Technicians	0%	0%	0%	0%	0%	0%
Sales Workers	0%	0%	0%	0%	0%	0%
Office and Clerical	0%	0%	0%	0%	0%	0%
Craft Workers (Skilled)	0%	0%	0%	0%	0%	0%
Operatives (Semi-Skilled)	0%	0%	0%	0%	0%	0%
Laborers	0%	0%	0%	0%	0%	0%
Service Workers	0%	0%	0%	0%	0%	0%
Total	100%	0%	100%	0%	0%	0%

Male	Female	Total
100%	0%	100%

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: San Blas Securities, LLC

Description of Matter: GO/STSC Refunding, Series 2024

Role of Reporting Firm: Co-Managing Underwriter

This affidavit is submitted in conjunction with (check one):

☒ a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

☐ brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

Fill out below (and attach additional sheets using the same format, if necessary), the following information for each person in the Reporting Firm who will directly provide professional services to the City in connection with the Matter described above: the individual's position in the Reporting Firm and the role he or she will fill in the Matter, gender, and race or ethnicity. Individuals' names need not be disclosed.

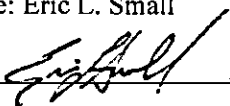
Individual#	Position and Role	Gender	Race/Ethnicity
1	Executive VP, Lead Banker, Primary Contact	M	African American
2	Managing Director, Underwriter	M	Caucasian
3	Managing Director, Sales & Trading	M	Caucasian
4	Municipal Analyst	M	Caucasian

(if needed, please use additional sheets to identify additional personnel.)

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By signing below, I understand and acknowledge, on behalf of the Reporting Firm, that failure to accurately and completely supply the information requested herein may result in a declaration of ineligibility to participate in future Matters for the City of Chicago.

Printed Name: Eric L. Small

Signature: 

Title: Executive Vice President

Date: September 10, 2024

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	San Blas Securities, LLC
Primary Representative:	Eric L. Small
Primary Representative Email and Telephone:	eric.small@sanblassecurities.com
Headquarters Address:	3424 Peachtree Road NE, Suite 2200, Atlanta, GA 30326
Chicago Public Finance Office Address:	125 South Wacker Drive, Suite 300, Chicago, IL 60606
Total Number of Employees:	89
Number of Employees in Illinois:	3
Number of Employees in Chicago:	1
Capital Position:	\$1,301,588 as of 3/31/24
Minority Designation:	African American/Latino American

Job Categories	Overall Totals	Male				Female					
		White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	13	6	4	1	1				1		
Professionals	76	45	6	5	1		14	2	2	1	
Technicians	0										
Sales Workers	0										
Office and Clerical	0										
Craft Workers (Skilled)	0										
Operatives (Semi-Skilled)	0										
Laborers	0										
Service Workers	0										
Total	89	51	10	6	2	0	14	2	3	1	0

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	13	6	4	2	1	0
Professionals	76	59	8	7	2	0
Technicians	0					
Sales Workers	0					
Office and Clerical	0					
Craft Workers (Skilled)	0					
Operatives (Semi-Skilled)	0					
Laborers	0					
Service Workers	0					
Total	89	65	12	9	3	0

Male	Female	Total
69	20	89

BNY recognizes the importance of protecting the privacy of our clients, our employees and other individuals. As such, we do not release sensitive personal information that could be linked back to specific employees and providing the content below would be a breach of our privacy policy. We appreciate your understanding in this matter.

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: _____

Description of Matter: _____

Role of Reporting Firm: _____

This affidavit is submitted in conjunction with (check one):

____ a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

____ brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

Fill out below (and attach additional sheets using the same format, if necessary), the following information for each person in the Reporting Firm who will directly provide professional services to the City in connection with the Matter described above: the individual's position in the Reporting Firm and the role he or she will fill in the Matter, gender, and race or ethnicity. Individuals' names need not be disclosed.

Individual #	Position and Role	Gender	Race/Ethnicity
		M F	
		M F	
		M F	
		M F	
		M F	

(If needed, please use additional sheets to identify additional personnel.)

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Printed Name: _____

Signature: _____

Title: _____

Date: _____

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

We have filled out your form to the best of our ability. However, please see our EEO-1 chart below for the workforce demographic data. The adjustment in EEO allocations for The Bank of New York Mellon Trust Company, N.A. reflects the recent strategic transition following the closing of the office on LaSalle. The headcount allocations for EEOC regulations have been re-allocated to our demographic data at 240 Greenwich in NY. While some staff have moved on to new opportunities, the Firm has adjusted to this change by retaining a dedicated remote workforce and you can see our presence is still strong based on the total number of employees in both the City of Chicago and the State of Illinois.

Job Categories	Totals	(Not Hispanic)	(Hispanic)	Hispanic	Asian	Islander
Officials and Managers	0	0	0	0	0	0
Professionals	0	2	0	0	0	0
Technicians	0	0	0	0	0	0
Sales Workers	0	0	0	0	0	0
Office and clerical	0	0	0	0	0	0
Craft Workers (Skilled)	0	0	0	0	0	0
Operations (Semi-Skilled)	0	0	0	0	0	0
Laborers	0	0	0	0	0	0
Service Workers	0	0	0	0	0	0
Total	2	2	0	0	0	0

	Male	Female	Total
1	2	0	

[illegible]

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: Zions Bancorporation, National Association

Description of Matter: GO/STSC Refunding Series 2024

Role of Reporting Firm: Bond Trustee-GO

This affidavit is submitted in conjunction with (check one):

☒ a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

☐ brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

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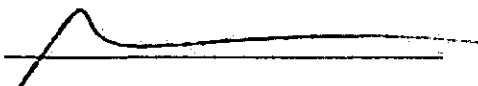
Individual #	Position and Role	Gender	Race/Ethnicity
1	April Lepic, Account Administrator	F	White
2	Stephanie Konrath, Trust Administrator	F	Black
3	Bob Cafarelli, Backup Administrator	M	White
4	Amina Iqbal, Trust Specialist	F	Asian
5	Allison Darnall, Legal	F	White

(If needed, please use additional sheets to identify additional personnel.)

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Printed Name: Robert Cafarelli

Signature: 

Title: Vice President

Date: September 4, 2024

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	Zions Bancorporation, National Association
Primary Representative:	April Lepic
Primary Representative Email and Telephone:	april.lepic@zionsbancorp.com, 312-763-4262
Headquarters Address:	One South Main, Salt Lake City UT 84133
Chicago Public Finance Office Address:	111 West Washington, Suite 1860, Chicago, IL 60602
Total Number of Employees:	9,732
Number of Employees in Illinois:	23
Number of Employees in Chicago:	5
Capital Position:	
Minority Designation:	

Job Categories	Overall Totals	Male					Female				
		White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	2,259	834	29	132	85	3	760	68	236	105	7
Professionals	3,490	1,381	77	234	278	3	941	101	243	225	7
Technicians	13	4		8	1						
Sales Workers	0										
Office and Clerical	3,970	548	63	316	88	10	1,567	229	899	223	27
Craft Workers (Skilled)	0										
Operatives (Semi-Skilled)	0										
Laborers	0										
Service Workers	0										
Total	9,732	2,767	169	690	452	16	3,268	398	1,378	553	41

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	23%	16%	1%	4%	2%	0%
Professionals	36%	24%	2%	5%	5%	0%
Technicians	0%	0%	0%	0%	0%	0%
Sales Workers	0%	0%	0%	0%	0%	0%
Office and Clerical	41%	22%	3%	12%	3%	0%
Craft Workers (Skilled)	0%	0%	0%	0%	0%	0%
Operatives (Semi-Skilled)	0%	0%	0%	0%	0%	0%
Laborers	0%	0%	0%	0%	0%	0%
Service Workers	0%	0%	0%	0%	0%	0%
Total	100%	62%	6%	21%	10%	1%

Male	Female	Total
42%	58%	100%

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: Loop Capital Markets LLC

Description of Matter: GO/STSC Refunding Series 2024

Role of Reporting Firm: Co-Manager

This affidavit is submitted in conjunction with (check one):

☒ a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

☐ brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

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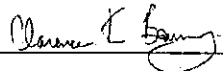
Individual #	Position and Role	Gender	Race/Ethnicity
1	Managing Director	M	African-American
2	Managing Director	M	Asian-American
3	Managing Director and Head of Long-Term Underwriting	M	Hispanic
4	Managing Director and Head of Municipal Sales	M	Caucasian
5	Managing Director	F	Caucasian
6	Senior Vice President	M	Caucasian
7	Vice President	F	Asian-American
8	Analyst	M	Hispanic

(If needed, please use additional sheets to identify additional personnel.)

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Printed Name: Clarence Bourne

Signature: 

Title: Managing Director

Date: 8/29/24

FIRMWIDE
PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	Loop Capital Markets LLC
Primary Representative:	Clarence Bourne
Primary Representative Email and Telephone:	clarence.bourne@loopcapital.com, 312.356.5009
Headquarters Address:	425 S Financial Place, Suite 2700 Chicago, IL 60605
Chicago Public Finance Office Address:	425 S Financial Place, Suite 2700 Chicago, IL 60605
Total Number of Employees:	250
Number of Employees in Illinois:	100
Number of Employees in Chicago:	100
Capital Position:	N/A
Minority Designation:	African-American

Job Categories	Overall Totals	Male					Female				
		White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	24	8	6	1	1		2	4		2	
Professionals	216	106	36	16	16		10	16	9	7	
Technicians											
Sales Workers											
Office and Clerical	10	1		1				6	2		
Craft Workers (Skilled)											
Operatives (Semi-Skilled)											
Laborers											
Service Workers											
Total	250	115	42	18	17		12	26	11	9	

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	10%	4%	4%	0%	1%	0%
Professionals	86%	46%	21%	10%	9%	0%
Technicians						
Sales Workers						
Office and Clerical	4%	0%	2%	1%	0%	0%
Craft Workers (Skilled)						
Operatives (Semi-Skilled)						
Laborers						
Service Workers						
Total	100%	51%	27%	12%	10%	0%

Male	Female	Total
77%	23%	100%

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: RBC Capital Markets

Description of Matter: GO/STSC Refunding Series 2024

Role of Reporting Firm: Senior Managing Underwriter

This affidavit is submitted in conjunction with (check one):

X a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

 brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

Fill out below (and attach additional sheets using the same format, if necessary), the following information for each person in the Reporting Firm who will directly provide professional services to the City in connection with the Matter described above: the individual's position in the Reporting Firm and the role he or she will fill in the Matter, gender, and race or ethnicity. Individuals' names need not be disclosed.

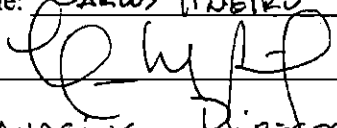
Individual #	Position and Role	Gender	Race/Ethnicity
1	Managing Director, Banking	(M) F	Latino
2	Managing Director, Underwriting	M (F)	White
3	Managing Director, Liability Management	(M) F	Asian
4	Director, Banking	(M) F	White
5	Director, Banking	(M) F	White
6	Vice President, Banking	(M) F	White
7	Analyst, Banking	(M) F	White
8	Analyst, Liability Management	M (F)	White
9	Analyst, Liability Management	(M) F	White

(If needed, please use additional sheets to identify additional personnel.)

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By signing below, I understand and acknowledge, on behalf of the Reporting Firm, that failure to accurately and completely supply the information requested herein may result in a declaration of ineligibility to participate in future Matters for the City of Chicago.

Printed Name: CARLOS PINEIRO

Signature: 

Title: MANAGING DIRECTOR

Date: September 11, 2024

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	RBC Capital Markets, LLC
Primary Representative:	Carlos Pineiro
Primary Representative Email and Telephone:	carlos.pineiro@rbccm.com
Headquarters Address:	200 Vesey Street, New York, NY 10281
Chicago Public Finance Office Address:	111 S. Wacker Drive, Suite 3200, Chicago, IL 60606
Total Number of Employees:	8,965
Number of Employees in Illinois:	193
Number of Employees in Chicago:	123
Capital Position:	9,690,534,000
Minority Designation:	None

Job Categories	Male						Female				
	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	1,272	601	30	26	180	2	317	21	15	80	0
Professionals	2,838	1,158	87	96	490	2	631	46	45	283	0
Technicians	0	0	0	0	0	0	0	0	0	0	0
Sales Workers	2,953	2,233	17	54	107	4	469	7	12	49	1
Office and Clerical	1,902	386	25	44	48	0	1,117	75	98	105	4
Craft Workers (Skilled)	0	0	0	0	0	0	0	0	0	0	0
Operatives (Semi-Skilled)	0	0	0	0	0	0	0	0	0	0	0
Laborers	0	0	0	0	0	0	0	0	0	0	0
Service Workers	0	0	0	0	0	0	0	0	0	0	0
Total	8,965	4,378	159	220	825	8	2,534	149	170	517	5

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	14.2%	72.2%	4.0%	3.2%	20.4%	0.2%
Professionals	31.7%	63.0%	4.7%	5.0%	27.2%	0.1%
Technicians	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Sales Workers	32.9%	91.5%	0.8%	2.2%	5.3%	0.2%
Office and Clerical	21.2%	79.0%	5.3%	7.5%	8.0%	0.2%
Craft Workers (Skilled)	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Operatives (Semi-Skilled)	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Laborers	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Service Workers	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Total	100.0%	77.1%	3.4%	4.4%	15.0%	0.1%

Male	Female	Total
62.4%	37.6%	100.0%

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: Samuel A. Ramirez & Company, Inc.

Description of Matter: GO/STSC Refunding Series of 2024

Role of Reporting Firm: Co-Senior Manager

This affidavit is submitted in conjunction with (check one):

☒ a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

☐ brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

Fill out below (and attach additional sheets using the same format, if necessary), the following information for each person in the Reporting Firm who will directly provide professional services to the City in connection with the Matter described above: the individual's position in the Reporting Firm and the role he or she will fill in the Matter, gender, and race or ethnicity. Individuals' names need not be disclosed.

Individual #	Position and Role	Gender	Race/Ethnicity
1	Lorraine Palacios, Managing Director and Lead Banker	M F	Hispanic
2	Carlos Montoya, Managing Director and Lead Quantitative Banker	M F	Hispanic
3	Matthew McVay, Senior Vice President, Day-to-Day Banking Support	M F	Caucasian
4	Anthony Kinsey, Senior Vice President, Day-to-Day Banking Support	M F	African-American
5	Thea Maletta, Analyst, Banking Support	M F	Caucasian

(If needed, please use additional sheets to identify additional personnel.)

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Printed Name: Lorry Palacios

Signature: 

Title: Managing Director and Head of Midwest

Date: September 3, 2024

FIRMWIDE
PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	Samuel A. Ramirez & Company, Inc.
Primary Representative:	Lorry Palacios
Primary Representative Email and Telephone:	lorraine.palacios@ramirezco.com
Headquarters Address:	61 Broadway, 29th Floor, New York, NY 10006
Chicago Public Finance Office Address:	425 S. Financial Place, Suite 3900, Chicago, IL 060605
Total Number of Employees:	181
Number of Employees in Illinois:	11
Number of Employees in Chicago:	11
Capital Position:	Total Cap. \$83.2mm; Excess Cap. \$60.9mm (As of 6/30/2024)
Minority Designation:	Hispanic-MBE

Job Categories	Overall Totals	Male					Female				
		White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	99	52	3	12	7	1	11	1	5	7	0
Professionals	29	9	2	2	3	0	9	0	2	2	0
Technicians	2	1	0	1	0	0	0	0	0	0	0
Sales Workers	39	33	0	2	0	0	3	0	1	0	0
Office and Clerical	12	0	1	1	0	0	2	2	6	0	0
Craft Workers (Skilled)	0	0	0	0	0	0	0	0	0	0	0
Operatives (Semi-Skilled)	0	0	0	0	0	0	0	0	0	0	0
Laborers	0	0	0	0	0	0	0	0	0	0	0
Service Workers	0	0	0	0	0	0	0	0	0	0	0
Total	181	95	6	18	10	1	25	3	14	9	0

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	55%	35%	2%	9%	8%	1%
Professionals	16%	10%	1%	2%	3%	0%
Technicians	1%	1%	0%	1%	0%	0%
Sales Workers	22%	20%	0%	2%	0%	0%
Office and Clerical	7%	1%	2%	4%	0%	0%
Craft Workers (Skilled)	0%	0%	0%	0%	0%	0%
Operatives (Semi-Skilled)	0%	0%	0%	0%	0%	0%
Laborers	0%	0%	0%	0%	0%	0%
Service Workers	0%	0%	0%	0%	0%	0%
Total	100%	66%	5%	18%	10%	1%

Male	Female	Total
72%	28%	100%

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: Academy Securities, Inc.

Description of Matter: GO/STSC Refunding Series 2024

Role of Reporting Firm: Co-Manager

This affidavit is submitted in conjunction with (check one):

- ☒ a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)
- ☐ brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

Fill out below (and attach additional sheets using the same format, if necessary), the following information for each person in the Reporting Firm who will directly provide professional services to the City in connection with the Matter described above: the individual's position in the Reporting Firm and the role he or she will fill in the Matter, gender, and race or ethnicity. Individuals' names need not be disclosed.

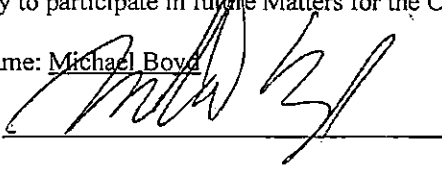
Individual #	Position and Role	Gender	Race/Ethnicity
1	Lead Banker, Managing Director	☒ M ☐ F	African American
2	Head of Credit Strategy, Director	M ☒ F	White
3	Head of Underwriting, Managing Director	☒ M ☐ F	White
		M ☐ F	
		M ☐ F	

(If needed, please use additional sheets to identify additional personnel.)

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Printed Name: Michael Boyd

Signature: 

Title: Chief Compliance Officer

Date: 9/9/2024

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	Academy Securities, Inc.
Primary Representative:	James Carter
Primary Representative Email and Telephone:	jcarter@academysecurities.com 312-635-0868
Headquarters Address:	622 Third Avenue, 12th Floor, New York, NY 10017
Chicago Public Finance Office Address:	205 W. Wacker Drive, Suite 800, Chicago, IL 60606
Total Number of Employees:	111
Number of Employees in Illinois:	13
Number of Employees in Chicago:	13
Capital Position:	\$66,762,982
Minority Designation:	Hispanic, Veteran

Job Categories	Overall Totals	Male					Female				
		White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	4	1	0	3	0	0	0	0	0	0	0
Professionals	57	40	4	2			9	1			1
Technicians	0	0	0	0	0	0	0	0	0	0	0
Sales Workers	47	31	2	2	3	0	9	0	0	0	0
Office and Clerical	3	2	0	0	0	0	1	0	0	0	0
Craft Workers (Skilled)	0	0	0	0	0	0	0	0	0	0	0
Operatives (Semi-Skilled)	0	0	0	0	0	0	0	0	0	0	0
Laborers	0	0	0	0	0	0	0	0	0	0	0
Service Workers	0	0	0	0	0	0	0	0	0	0	0
Total	111	74	6	7	3	0	19	1	0	0	1

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	4	1	0	3	0	0
Professionals	57	49	5	2	0	1
Technicians	0	0	0	0	0	0
Sales Workers	47	40	2	2	3	0
Office and Clerical	3	3	0	0	0	0
Craft Workers (Skilled)	0	0	0	0	0	0
Operatives (Semi-Skilled)	0	0	0	0	0	0
Laborers	0	0	0	0	0	0
Service Workers	0	0	0	0	0	0
Total	111	93	7	7	3	1

Male	Female	Total
90	21	111

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: North South Capital

Description of Matter: GO/STSC Refunding Series 2024

Role of Reporting Firm: bond underwriter (Co-Manager)

This affidavit is submitted in conjunction with (check one):

☒ a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

☐ brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

Fill out below (and attach additional sheets using the same format, if necessary), the following information for each person in the Reporting Firm who will directly provide professional services to the City in connection with the Matter described above: the individual's position in the Reporting Firm and the role he or she will fill in the Matter, gender, and race or ethnicity. Individuals' names need not be disclosed.

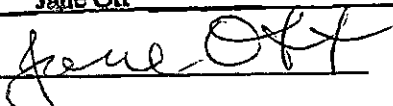
Individual #	Position and Role	Gender	Race/Ethnicity
Jane Ott	Investment banker/syndicate underwriter	M F	Caucasian
		M F	
		M F	
		M F	
		M F	

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Printed Name: Jane Ott

Signature: 

Title: President

Date: 9-11-24

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	North South Capital
Primary Representative:	Jane Ott
Primary Representative Email and Telephone:	jott@nouthsouthcap.com 312 445 5401
Headquarters Address:	312 W. Maple St. Suite 100 New Lenox, IL 60451
Chicago Public Finance Office Address:	205 W. Monroe St Suite 313 Chicago 60606
Total Number of Employees:	8
Number of Employees in Illinois:	6
Number of Employees in Chicago:	1
Capital Position:	\$2,418,535 (as of August 2024)
Minority Designation:	Woman owned

Job Categories

Officials and Managers
Professionals
Technicians
Sales Workers
Office and Clerical
Craft Workers (Skilled)
Operatives (Semi-Skilled)
Laborers
Service Workers
Total

Overall Totals	Male					Female				
	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
	1					1				
	5					1				
	6					2				

Job Categories

Officials and Managers
Professionals
Technicians
Sales Workers
Office and Clerical
Craft Workers (Skilled)
Operatives (Semi-Skilled)
Laborers
Service Workers
Total

Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
33%	33%				
67%	67%				
100%	100%				

Male	Female	Total
67%	33%	100%

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: Hilltop Securities Inc.

Description of Matter: GO/STSC Refunding Series 2024

Role of Reporting Firm: Co-Managing Underwriter

This affidavit is submitted in conjunction with (check one):

☒ a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

☐ brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

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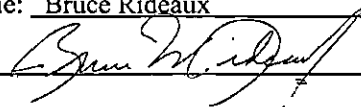
Individual #	Position and Role	Gender	Race/Ethnicity
1	Managing Director / Lead Banker	☒ M ☐ F	Black
2	Senior Vice President / Co-Lead Banker	☐ M ☒ F	White
3	Managing Director / Co-Lead Banker	☐ M ☒ F	White
4	Assistant Vice President / Support Banker	☒ M ☐ F	White
5	Senior Managing Director / Lead Underwriter	☒ M ☐ F	White
6	Assistant Vice President / Support Banker	☒ M ☐ F	Black

(If needed, please use additional sheets to identify additional personnel.)

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Printed Name: Bruce Rideaux

Signature: 

Title: Managing Director

Date: September 6, 2024

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:		Hilltop Securities Inc.
Primary Representative:		Bruce Rideaux, Managing Director
Primary Representative Email and Telephone:		(214) 859-1468 bruce.rideaux@hilltopsecurities.com
Headquarters Address:		717 N. Harwood Street, Suite 3400, Dallas, TX 75201
Chicago Public Finance Office Address:		1 South Wacker Dr., Suite 2290, Chicago, IL 60606
Total Number of Employees:		787
Number of Employees in Illinois:		19
Number of Employees in Chicago:		19
Capital Position:		8/1/2024 (in \$mm) Equity - \$390.58; Net Capital - \$236.987; Excess Net Capital - \$230.71
Minority Designation:		None

Job Categories	Male							Female						
	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	Other / Not Disclosed	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	Other / Not Disclosed	
Officials and Managers	123	66	1	8	2		3	33	2	5	2		1	
Professionals	316	146	11	25	12		8	66	11	23	12		2	
Technicians														
Sales Workers	149	123	3	5	2		4	10	1		1			
Office and Clerical	199	22	9	7	1	1	5	83	37	18	5	1	10	
Craft Workers (Skilled)														
Operatives (Semi-Skilled)														
Laborers														
Service Workers														
Total	787	357	24	45	17	1	20	192	51	46	20	1	13	

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	Other / Not Disclosed
Officials and Managers	100%	80%	2%	11%	3%		3%
Professionals	100%	67%	7%	15%	8%		3%
Technicians							
Sales Workers	100%	89%	3%	3%	2%		3%
Office and Clerical	100%	54%	23%	13%	3%	1%	8%
Craft Workers (Skilled)							
Operatives (Semi-Skilled)							
Laborers							
Service Workers							
Total							

Male	Female	Total
59%	41%	100%

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: BofA Securities

Description of Matter: GO/STSC Refunding Series 2024

Role of Reporting Firm: Co-Manager

This affidavit is submitted in conjunction with (check one):

X a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

 brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

Fill out below (and attach additional sheets using the same format, if necessary), the following information for each person in the Reporting Firm who will directly provide professional services to the City in connection with the Matter described above: the individual's position in the Reporting Firm and the role he or she will fill in the Matter, gender, and race or ethnicity. Individuals' names need not be disclosed.

Individual #	Position and Role	Gender	Race/Ethnicity
1	Managing Director	M	White
2	Director	M	White
3	Managing Director	M	Hispanic/Latino American
4	Associate	M	Hispanic/Latino American
5	Analyst	F	White

(If needed, please use additional sheets to identify additional personnel.)

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Printed Name: Eric Rockhold

Signature: 

Title: Managing Director

Date: 9/4/2024

FIRMWIDE

PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	BofA Securities
Primary Representative:	Eric Rockhold
Primary Representative Email and Telephone:	eric.rockhold@bofa.com +13125376374
Headquarters Address:	1 Bryant Park, New York, NY 10036
Chicago Public Finance Office Address:	110 N Wacker Dr, Chicago, IL 60606
Total Number of Employees:	6,665
Number of Employees in Illinois:	322
Number of Employees in Chicago:	321
Capital Position:	\$251.4 billion
Minority Designation:	Not a MBE/WBE

Job Categories	Overall Totals	Male					Female				
		White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	1,412	747	38	59	208	4	232	17	32	75	
Professionals	3,505	1,257	170	247	616	19	529	123	114	420	10
Technicians	38	9	3	5	11		3		2	5	
Sales Workers	601	340	17	22	80	2	82	1	12	44	1
Office and Clerical	1,109	218	69	80	81	6	262	144	129	114	6
Craft Workers (Skilled)	0										
Operatives (Semi-Skilled)	0										
Laborers	0										
Service Workers	0										
Total	6,665	2,571	297	413	996	31	1,108	285	289	658	17

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	21%	69%	4%	6%	20%	0%
Professionals	53%	51%	8%	10%	30%	1%
Technicians	1%	32%	8%	18%	42%	0%
Sales Workers	9%	70%	3%	6%	21%	0%
Office and Clerical	17%	43%	19%	19%	18%	1%
Craft Workers (Skilled)	0%	0%	0%	0%	0%	0%
Operatives (Semi-Skilled)	0%	0%	0%	0%	0%	0%
Laborers	0%	0%	0%	0%	0%	0%
Service Workers	0%	0%	0%	0%	0%	0%
Total	100%	55%	9%	11%	25%	1%

Male	Female	Total
65%	35%	100%

**AFFIDAVIT
DEBT OBLIGATION AND BROKERAGE TRANSACTIONS**

Name of Reporting Firm: Siebert Williams Shank & Co., LLC

Description of Matter: GO/STSC Refunding Series 2024

Role of Reporting Firm: Co-Manager

This affidavit is submitted in conjunction with (check one):

☒ a City of Chicago debt obligation transaction (Municipal Code Section 2-154-017)

☐ brokerage services for the City Treasurer (Municipal Code Section 2-154-018)

Fill out below (and attach additional sheets using the same format, if necessary), the following information for each person in the Reporting Firm who will directly provide professional services to the City in connection with the Matter described above: the individual's position in the Reporting Firm and the role he or she will fill in the Matter, gender, and race or ethnicity. Individuals' names need not be disclosed.

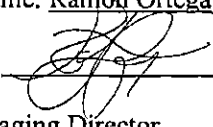
Individual #	Position and Role	Gender	Race/Ethnicity
	Please see attached	M F	
		M F	
		M F	
		M F	
		M F	

(If needed, please use additional sheets to identify additional personnel.)

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Printed Name: Ramon Ortega

Signature: 

Title: Managing Director

Date: 8/30/2024

Individual #	Position and Role	Gender	Race/Ethnicity
1	Co-Lead Banker	M	African American
2	Day to Day / Co-Lead Banker	M	Hispanic
3	Banking Support	M	White
6	Head Underwriter	M	White
7	Co-Underwriter	F	White
8	Quantitative Lead	M	White

FIRMWIDE
PLEASE POPULATE THE HIGHLIGHTED PORTIONS ONLY

Firm Name:	Siebert Williams Shank & Co., LLC
Primary Representative:	Ramon Ortega
Primary Representative Email and Telephone:	rortega@siebertwilliams.com / 312-759-1040
Headquarters Address:	100 Wall Street, 18th Fl, New York, NY 10005
Chicago Public Finance Office Address:	625 N. Michigan Ave., Suite 2350, Chicago, IL 60611
Total Number of Employees:	145
Number of Employees in Illinois:	7
Number of Employees in Chicago:	7
Capital Position:	Net Capital as of 6/30/2024: \$44,266,282
Minority Designation:	MWBE

Job Categories	Male					Female					
	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	23	8	7	1	1	0	2	2	0	2	0
Professionals	86	32	16	6	6	0	10	11	1	4	0
Technicians	0	0	0	0	0	0	0	0	0	0	0
Sales Workers	24	15	4	2	1	0	0	2	0	0	0
Office and Clerical	7	0	0	0	0	0	0	6	1	0	0
Craft Workers (Skilled)	0	0	0	0	0	0	0	0	0	0	0
Operatives (Semi-Skilled)	0	0	0	0	0	0	0	0	0	0	0
Laborers	0	0	0	0	0	0	0	0	0	0	0
Service Workers	0	0	0	0	0	0	0	0	0	0	0
Total	140	55	27	9	8	0	12	21	2	6	0

Male	Female	Total
71%	29%	100%

Job Categories	Overall Totals	White (Not Hispanic)	Black (Not Hispanic)	Hispanic	Asian	Native American
Officials and Managers	16%	7%	6%	1%	2%	0%
Professionals	61%	30%	19%	5%	7%	0%
Technicians	0%	0%	0%	0%	0%	0%
Sales Workers	17%	11%	4%	1%	1%	0%
Office and Clerical	5%	0%	4%	1%	0%	0%
Craft Workers (Skilled)	0%	0%	0%	0%	0%	0%
Operatives (Semi-Skilled)	0%	0%	0%	0%	0%	0%
Laborers	0%	0%	0%	0%	0%	0%
Service Workers	0%	0%	0%	0%	0%	0%
Total	100%	48%	34%	8%	10%	0%